

## TRANSPORTATION SUMMARY

	Governor's Recommended FY 02	Governor's Recommended FY 03	Committee Recommended FY 02	Committee Recommended FY 03	Leg. Change FY 02	Leg. Change FY 03
<b>General Fund</b>						
Department of Transportation	35,000,000	35,000,000	35,000,000	35,000,000	0	0
<b>Special Transportation Fund</b>						
Department of Motor Vehicles	52,370,813	54,808,339	52,252,566	54,654,818	-118,247	-153,521
Department of Transportation	320,330,981	335,797,897	320,330,981	335,797,897	0	0
<b>Total Special Transportation Fund</b>	<b>372,701,794</b>	<b>390,606,236</b>	<b>372,583,547</b>	<b>390,452,715</b>	<b>-118,247</b>	<b>-153,521</b>
<b>Carry Forward - FY 01 Surplus Appropriations</b>						
Department of Motor Vehicles	1,800,000	0	1,950,000	0	150,000	0
Department of Transportation	50,000,000	0	70,000,000	0	20,000,000	0

## Department of Motor Vehicles 2101

	Actual Expenditure FY 00	Estimated Expenditure FY 01 (as of 2/2001)	Governor's Recommended FY 02	Governor's Recommended FY 03	Committee FY 02	Committee FY 03
<b>POSITION SUMMARY</b>						
<b>Appropriated Funds</b>						
<b>Special Transportation Fund</b>						
Permanent Full-Time	690	690	695	695	690	690
Others Equated to Full-Time	125	87	87	87	87	87
<b>Additional Funds Available</b>						
Permanent Full-Time	74	89	74	74	74	74
Others Equated to Full-Time	6	6	6	6	6	6
<b>OPERATING BUDGET</b>						
<b>Appropriated Funds</b>						
<b>General Fund</b>						
02X Other Current Expenses	5,687,572	0	0	0	0	0
<b>Agency Total - General Fund</b>	<b>5,687,572</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Special Transportation Fund</b>						
001 Personal Services	34,776,455	35,950,697	37,653,911	39,388,697	37,878,038	39,622,867
002 Other Expenses	12,627,810	13,097,638	13,105,549	13,786,887	13,349,549	14,030,887
005 Equipment	676,312	622,185	827,684	824,182	664,979	641,064
02X Other Current Expenses	557,953	560,000	783,669	808,573	360,000	360,000
<b>Agency Total - Special Transportation Fund</b>	<b>48,638,530</b>	<b>50,230,520</b>	<b>52,370,813</b>	<b>54,808,339</b>	<b>52,252,566</b>	<b>54,654,818</b>
<b>Agency Total - Appropriated Funds</b>	<b>54,326,102</b>	<b>50,230,520</b>	<b>52,370,813</b>	<b>54,808,339</b>	<b>52,252,566</b>	<b>54,654,818</b>
<b>Additional Funds Available</b>						
Carry Forward - FY 01 Surplus Appropriations	0	0	1,800,000	0	1,950,000	0
Carry Forward - FY 99 Surplus Appropriation - Reflectorized Plate Program	244,540	5,000,000	7,675,460	0	7,675,460	0
Carry Forward - FY 00 SA Surplus - CVISN	0	0	2,100,000	0	2,100,000	0
DMV Insurance Enforcement Fund	50	0	0	0	0	0
Emissions Enterprise Fund	26,920,082	26,504,924	27,159,446	5,333,000	27,159,446	5,333,000
Special Funds, Non-Appropriated	362,178	384,041	412,710	428,247	412,710	428,247
Private Contributions	7,000	0	0	0	0	0
Federal Contributions	1,040,361	1,625,000	3,100,000	1,000,000	3,100,000	1,000,000
<b>Agency Grand Total</b>	<b>82,900,313</b>	<b>83,744,485</b>	<b>94,618,429</b>	<b>61,569,586</b>	<b>94,650,182</b>	<b>61,416,065</b>
<b>BUDGET BY PROGRAM</b>						
<b>Customer Services</b>						
Permanent Full-Time Positions TF/OF	364/2	364/2	364/2	364/2	364/2	364/2
<b>General Fund</b>						
050 Y2K Funds	3,768	0	0	0	0	0
<b>Special Transportation Fund</b>						
Personal Services	17,917,891	18,250,812	19,546,666	20,558,804	19,546,666	20,558,804
Other Expenses	3,628,983	3,695,672	3,956,620	4,635,334	4,200,620	4,879,334
Equipment	487,464	622,185	373,514	393,610	373,514	393,610
<b>Total - Special Transportation Fund</b>	<b>22,034,338</b>	<b>22,568,669</b>	<b>23,876,800</b>	<b>25,587,748</b>	<b>24,120,800</b>	<b>25,831,748</b>
<b>Additional Funds Available</b>						
Carry Forward - FY 01 Surplus Appropriations	0	0	0	0	150,000	0
Special Funds, Non-Appropriated (Boating Fund)	362,178	384,041	412,710	428,247	412,710	428,247
Private Contributions	7,000	0	0	0	0	0
<b>Total - Additional Funds Available</b>	<b>369,178</b>	<b>384,041</b>	<b>412,710</b>	<b>428,247</b>	<b>562,710</b>	<b>428,247</b>
<b>Total - All Funds</b>	<b>22,407,284</b>	<b>22,952,710</b>	<b>24,289,510</b>	<b>26,015,995</b>	<b>24,683,510</b>	<b>26,259,995</b>

	Actual Expenditure FY 00	Estimated Expenditure FY 01 (as of 2/2001)	Governor's Recommended FY 02	Governor's Recommended FY 03	Committee FY 02	Committee FY 03
<b>Regulation of Motor Vehicles and Their Use</b>						
Permanent Full-Time Positions TF/OF	146/15	146/14	151/15	151/15	146/15	146/15
<b>General Fund</b>						
050 Y2K Funds	6,015	0	0	0	0	0
<b>Special Transportation Fund</b>						
Personal Services	7,429,418	7,968,455	7,815,296	8,201,967	8,039,423	8,436,137
Other Expenses	862,026	846,323	854,286	855,910	854,286	855,910
Equipment	2,969	0	93,560	52,692	93,560	52,692
011 Graduated Licenses	197,953	200,000	224,127	234,170	0	0
013 Insurance Enforcement	360,000	360,000	559,542	574,403	360,000	360,000
<b>Total - Special Transportation Fund</b>	<b>8,852,366</b>	<b>9,374,778</b>	<b>9,546,811</b>	<b>9,919,142</b>	<b>9,347,269</b>	<b>9,704,739</b>
<b>Federal Contributions</b>						
State & Community Hwy Safety/MCSAP	1,040,361	1,625,000	3,100,000	1,000,000	3,100,000	1,000,000
<b>Additional Funds Available</b>						
Carry Forward - FY 00 SA Surplus - CVISN	0	0	2,100,000	0	2,100,000	0
<b>Total - All Funds</b>	<b>9,898,742</b>	<b>10,999,778</b>	<b>14,746,811</b>	<b>10,919,142</b>	<b>14,547,269</b>	<b>10,704,739</b>
<b>Management Services</b>						
Permanent Full-Time Positions TF	56	56	56	56	56	56
<b>General Fund</b>						
050 Y2K Funds	5,677,789	0	0	0	0	0
<b>Special Transportation Fund</b>						
Personal Services	3,700,359	3,828,688	4,045,345	4,321,754	4,045,345	4,321,754
Other Expenses	315,309	277,798	277,798	277,798	277,798	277,798
Equipment	161,569	0	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>4,177,237</b>	<b>4,106,486</b>	<b>4,323,143</b>	<b>4,599,552</b>	<b>4,323,143</b>	<b>4,599,552</b>
<b>Additional Funds Available</b>						
DMV Insurance Enforcement Fund	50	0	0	0	0	0
<b>Total - All Funds</b>	<b>9,855,076</b>	<b>4,106,486</b>	<b>4,323,143</b>	<b>4,599,552</b>	<b>4,323,143</b>	<b>4,599,552</b>
<b>Support Services</b>						
Permanent Full-Time Positions TF	124	124	124	124	124	124
<b>Special Transportation Fund</b>						
Personal Services	5,728,787	5,902,742	6,865,946	7,154,806	6,865,946	7,154,806
Other Expenses	7,821,492	8,277,845	8,016,845	8,017,845	8,016,845	8,017,845
Equipment	24,310	0	360,610	377,880	197,905	194,762
<b>Total - Special Transportation Fund</b>	<b>13,574,589</b>	<b>14,180,587</b>	<b>15,243,401</b>	<b>15,550,531</b>	<b>15,080,696</b>	<b>15,367,413</b>
<b>Additional Funds Available</b>						
Carry Forward - FY 01 Surplus Appropriations	0	0	1,800,000	0	1,800,000	0
Carry Forward - FY 99 Surplus Appropriation - Reflectorized Plate Program	244,540	5,000,000	7,675,460	0	7,675,460	0
<b>Total - Additional Funds Available</b>	<b>244,540</b>	<b>5,000,000</b>	<b>9,475,460</b>	<b>0</b>	<b>9,475,460</b>	<b>0</b>
<b>Total - All Funds</b>	<b>13,819,129</b>	<b>19,180,587</b>	<b>24,718,861</b>	<b>15,550,531</b>	<b>24,556,156</b>	<b>15,367,413</b>
<b>Emissions Inspection</b>						
Permanent Full-Time Positions OF	57	73	57	57	57	57
<b>Additional Funds Available</b>						
Personal Services - EEF	2,131,066	2,627,933	2,822,206	3,556,245	2,822,206	3,556,245
Other Expenses - EEF	23,811,612	22,836,265	23,229,242	376,755	23,229,242	376,755
Equipment - EEF	9,214	9,000	0	0	0	0
Fringe Benefits - EEF	968,190	1,031,726	1,107,998	1,400,000	1,107,998	1,400,000
<b>Total - Additional Funds Available</b>	<b>26,920,082</b>	<b>26,504,924</b>	<b>27,159,446</b>	<b>5,333,000</b>	<b>27,159,446</b>	<b>5,333,000</b>
<b>Personal Services Reductions</b>						
<b>Special Transportation Fund</b>						
Personal Services	0	0	-357,512	-577,640	-357,512	-577,640
Less: Turnover - Personal Services - TF	0	0	-261,830	-270,994	-261,830	-270,994
<b>EQUIPMENT</b>						
005 Equipment	676,312	622,185	827,684	824,182	664,979	641,064
005 Equipment - EEF	9,214	9,000	0	0	0	0
<b>Agency Grand Total</b>	<b>82,900,313</b>	<b>83,744,485</b>	<b>94,618,429</b>	<b>61,569,586</b>	<b>94,650,182</b>	<b>61,416,065</b>

## BUDGET CHANGES

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
<b>FY 01 Estimated Expenditures - TF</b>	<b>690</b>	<b>50,336,520</b>	<b>690</b>	<b>50,336,520</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Inflation and Non-Program Changes - (B)</b>								
Personal Services	0	2,149,501	0	4,130,059	0	0	0	0
Other Expenses	0	632,638	0	1,520,236	0	0	0	0
Equipment	0	205,499	0	201,997	0	0	0	0
Social Security Numbers on Registrations	2	633,775	2	576,808	0	0	0	0
Vision Screening	26	1,102,073	26	1,148,864	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>28</b>	<b>4,723,486</b>	<b>28</b>	<b>7,577,964</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Revise Vision Screening Program - (B)**

The purpose of a vision screening or examination is to confirm that each applicant or license holder meets the current legal standards for vision. Current law requires a vision screening for all motor vehicle drivers at every other license renewal, i.e. every eight years following the initial screening test.

The requirement for the implementation of a Vision Screening Program was made under PA 92-9, MSS; PA 93-80 delayed the program to 7/1/95; PA 95-233 postponed it to 7/1/97; PA 97-284 postponed it again to 7/1/99 and PA 99-287 postponed it to 7/1/01.

-(Governor) The governor recommends revising to the current vision screening requirements effective July 1, 2001. The revision makes it mandatory for drivers over the age of 69 to have their vision tested every four years at their eye doctor and expense and to bring the results with them at time of renewal. The revision to the program will enable the Department of Motor Vehicles (DMV) to implement the program without the need for additional resources. Therefore, the current services funding level recommended for this program (see above under Inflation and Non-Program Changes) can be eliminated. HB 6734, "AAC Vision Requirements to Safely Operate a Motor Vehicle" implements this provision.

Please note that the department began full implementation of the Graduated License Program, with a \$200,000 annual budget appropriation, in FY 94. The program requires vision examinations of drivers who are reported by relatives, concerned citizens or police to have vision problems. Each case is handled individually. Many cases are resolved by the issuance of a limited license such as a "daylight only" license. Approximately 1,400 graduated licenses are issued annually.

-(Committee) Same as Governor.

Vision Screening	-26	-1,102,073	-26	-1,148,864	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>-26</b>	<b>-1,102,073</b>	<b>-26</b>	<b>-1,148,864</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Defer Mandate to Capture Social Security/FEIN Number on New and Renewal Registrations - (B)**

According to PA 97-309, beginning on October 1, 1999, the Department of Motor Vehicles (DMV) would have assumed administrative responsibilities for obtaining the Social Security Number (SSN), the Federal Employer Identification Number (FEIN) or both, if applicable, of anyone registering a motor vehicle. If unavailable, the DMV had to obtain the reason(s) for the unavailability. The purpose of the act is to establish identification of persons affected by the motor vehicle property tax under the state's tax laws. By December 1 annually, starting in 2000, the DMV

	Governor's FY 02	Governor's FY 03	Leg. Change FY 02	Leg. Change FY 03
Pos.	Amount	Pos.	Amount	Pos.

had to provide the name, address, and SSN or FEIN of each vehicle owner to the Department of Revenue Services (DRS) and the assessor of the town in which the vehicle was registered. By February 1 annually, starting in 2001, the DMV had to provide to DRS, a list of the names, addresses, SSNs or FEINs of everyone whose vehicle was registered with the DMV during the previous calendar year.

PA 97-309 established the program. Section 20 of PA 99-368 postponed the program to 10/1/01.

-(Governor) The governor is proposing to defer, until October 1, 2003, the requirement that the DMV collect Social Security Numbers (SSNs) and/or Federal Employer Identification Numbers (FEINs) prior to issuing a new or renewal registration. The mandate to collect SSNs and/or FEINs for the Department of Revenue Services requires the DMV to modify their current registration and lockbox system to accept SSNs and/or FEINs and to verify the numbers prior to issuing a new or renewal registration. As the result of the delay, program costs can be deferred to FY 04. SB 1164, "AAC The Collection Of Social Security And Federal Employer Identification Numbers On New and Renewal Registrations" implements this provision.

-(Committee) Same as Governor.

Social Security Numbers on Registrations	-2	-633,775	-2	-576,808	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>-2</b>	<b>-633,775</b>	<b>-2</b>	<b>-576,808</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Eliminate Registration Stickers - (B)

Registration expiration stickers are issued for all new and renewal registrations. The stickers have the year and month of expiration and are placed on the rear marker plate of the vehicle. Since a new or renewal registration can be for one or two years and can be processed 60 days prior to expiration and anytime after the expiration date, branch offices and dealerships must keep numerous month and year stickers on hand.

-(Governor) The governor proposes the elimination of registration expiration stickers. This action will save the department \$244,000 annually. The department purchases 1.8 million stickers per year. SB 1166, "AA Eliminating Registration Expiration Stickers" implements this provision.

-(Committee) The elimination of registration stickers is not recommended for the following reasons: 1) the potential drawback law enforcement agencies, 2) because removing the registration stickers could encourage people to skip renewing their registrations, and 3) because originally the reason the Department of Motor Vehicles (DMV) began requiring car owners to affix the stickers to their plates was to help law enforcement officials identify unregistered motor vehicles.

Other Expenses	0	-244,000	0	-244,000	0	244,000	0	244,000
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>-244,000</b>	<b>0</b>	<b>-244,000</b>	<b>0</b>	<b>244,000</b>	<b>0</b>	<b>244,000</b>

#### Provide Funding for the Insurance Enforcement Program - (B)

The General Assembly enacted PA 93-298 to enforce Connecticut's compulsory liability insurance requirements for all private passenger motor vehicles. Uninsured motorists are subject to administrative

Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

penalties, cancellation of the motor vehicle registration, confiscation of number plates and payment of registration and restoration fees. Annually, approximately 30,000 vehicle owners receive suspension notices; approximately 3,000 eventually pay the \$100 restoration fee. The Department of Motor Vehicles (DMV) has contracted with General System (GSS) since 10/1/93 for a major portion of program implementation for \$360,000 annually.

-(Governor) The governor is providing funds for five (5) Permanent Full-Time (PFT) positions to continue program implementation.

-(Committee) Due to the fact that: 1) the program is being implemented with five (5) permanent staff members, 2) the department continues to have a high vacancy rate (27 in FY 99, 28 in FY 00 and 29 during the current fiscal year), and 3) to attain the goals of the spending cap, the provision to add five (5) PFT positions is not recommended.

Insurance Enforcement	5	199,542	5	214,403	-5	-199,542	-5	-214,403
<b>Total - Special Transportation Fund</b>	<b>5</b>	<b>199,542</b>	<b>5</b>	<b>214,403</b>	<b>-5</b>	<b>-199,542</b>	<b>-5</b>	<b>-214,403</b>

#### **Implement Six-Year Drivers License Renewal Period - (B)**

-(Governor) The governor is proposing that the renewal period for an operator's license be changed from four years to six years. The renewal fee for the six-year license would be \$53.25 which is the current four-year fee of \$35.50 plus \$17.75 for the additional two years. The change to a six-year license will generate \$20.4 million in revenue acceleration over the first four years (FY 02 to FY 05) as follows: \$3.5 million in FY 02 and FY 03; and \$6.7 million in FY 04 and FY 05. Savings of \$1.1 million (including fringe benefits) can be anticipated in the fifth year (FY 06) and subsequently thereafter. The breakdown of the anticipated savings is as follows:

No. of Positions	16
Personal Services	\$ 530,015
Fringe Benefits	185,505
Other Expenses	396,000
Total Projected Savings	\$1,111,520

SB 1165, "AA Extending the Driver's License Renewal Period From Four Years to Six Years" implements this provision.

-(Committee) Same as Governor.

#### **Increase Fees for Temporary and Transfer Registrations Issued by Dealerships - (B)**

In response to budgetary reductions in 1991, the Department closed seven branch offices. The closing of the offices redistributed customers to the remaining 12 branch offices. To deal with the increase number of customers, the Department took several actions. One was to partner with the Connecticut Auto Trades Association to develop an on-line system for dealers to process registration transactions. While the on-line system was being developed, the Department established a central dealer processing unit that was to remain in existence until the on-line system became available. This action removed personnel from the

Governor's FY 02 Pos.      Amount	Governor's FY 03 Pos.      Amount	Leg. Change FY 02 Pos.      Amount	Leg. Change FY 03 Pos.      Amount
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lines at branch offices to process transactions at the central processing unit.

-(Governor) The on-line system has been available for seven years, but participation by dealers has been low. Since there is a minimal charge (an average of 25 cents per transaction) to use the manual dealer system, most dealers opt to send in their transactions to the central processing unit. However, the manual unit was not staffed to handle the volume of transactions they currently receive and are consistently 60 days behind in processing transactions. To improve service, the governor is proposing that fees be increased to \$10 for dealers who are not on line. The increased central processing unit fees will be competitive with the fees charged by the Ct. Auto Trade Association (C. A. T. A.) for on-line processing. The agency could receive additional revenues of approximately \$2.2 million in FY 02 and \$1.2 million in FY 03. However, it is anticipated that as the result of the legislation most dealers will go on line and the additional revenues will not be realized. HB 6736, "AA Increasing Fees for Temporary and Transfer Registrations Issued by Dealerships" would implement this action.

-(Committee) Same as Governor.

#### **Appropriation from FY 2001 Surplus-Upgrade Registration and Title Processing - (B)**

The Department needs to enhance the current registration and title to enable on-line processing of registration and title transactions. Currently, registration transactions do not get into the system for 60 to 90 days. Documents must be sent from the branch offices to Wethersfield to be microfilmed, data entered, error corrected, and finally reviewed by the title unit. The delay in processing causes problems for law enforcement when vehicle registration must be verified, and for customers who want to process another transaction prior to the file update.

-(Governor) The governor is providing \$1.8 million from the FY 2001 General Fund surplus for the upgrade of the Registration and Title Processing System. The one-time funding would improve record accuracy, reduce transaction time, eliminate duplicate data entries and improve reporting and statistical analyses capabilities. The upgrade is also necessary to implement the new decentralized emissions program.

-(Committee) Same as Governor.

Carry Forward - FY 01 Surplus Appropriations	0	1,800,000	0	0	0	0	0	0
<b>Total - Carry Forward - FY 01 Surplus Appropriations</b>	<b>0</b>	<b>1,800,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### **Eliminate Ten-Year Old Safety Inspections on Transfer of Ownership - (B)**

In October, 1996, the Department entered into a contract with Envirotec, the emissions contractor, to conduct safety inspections on ten-year-old vehicles when title is transferred and on out-of-state motor vehicles whose states offer reciprocal safety inspections. The cost of the contract over a 5 ½-year period was \$14.1 million. The contract runs concurrent with the Emissions contract and expires on June 30, 2002. In calendar year 1997, the

Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Department paid Envirotec \$2.1 million. It was anticipated that under the contract, \$2.2 million would be paid to Envirotec annually. The contractor was paid \$12.95 per inspection. The rate increased each year to a maximum of \$15.40. Under the terms of the contract, the Department continued to perform certain safety inspections. i.e. trucks, taxis, antique vehicles, etc. at DMV in-door safety inspection lanes in Danbury, Enfield, Hamden, Old Saybrook, Wethersfield, and Willimantic. Prior to contracting with Envirotec, the Department conducted all safety inspections. The cost of the safety inspection was \$25 and deposited in the Transportation Fund. The contract with Envirotec was amended in 1999 whereby Envirotec retained \$15 for conducting the safety inspections and the DMV charged \$10, deposited in the Transportation Fund, to cover administrative costs. It is anticipated that the DMV will continue collecting the \$10 fee to cover administrative expenses.

-(Governor) The governor is proposing to eliminate the current safety inspection of ten-year-old motor vehicles at the time of transfer. Vehicles less than ten years old that have not been well maintained and vehicles ten years old and older that are not sold or transferred under present law are not subject to safety inspections. Thus, the current safety inspection program at time of transfer only is not an effective safety inspection program. The current required inspections for salvage, composite and other mandated vehicles would continue to be performed at branch offices. SB 1167, "An Act Eliminating The Safety Inspection Of Older Vehicles Upon Transfer of Ownership" would implement this action.

-(Committee) Same as Governor.

#### Reduce Branch Office Hours - (B)

When the scheduled work week was increased to forty hours, the Department increased the number of hours the branch offices were opened to the public. The offices opened a half hour earlier each day and closed a half hour later on Saturday. The other half hour was added to the end of Tuesday, Wednesday, Friday and Saturday.

-(Governor) To eliminate the overtime, the governor is proposing to close branch offices to the public at 7:00 pm instead of 7:30 pm on Thursday nights. Employees would still be scheduled until 7:30 pm. The hours the branch offices would be open to the public are: 8:00 am to 4:30 pm (Tuesday, Wednesday, and Friday); 8:00 am to 7:00 pm on Thursdays; and 8:00 am to 12:30 pm on Saturdays. This action will save \$159,218 in overtime in FY 02 and \$163,995 in FY 03 and subsequently thereafter.

-(Committee) Same as Governor.

Personal Services	0	-159,218	0	-163,995	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>-159,218</b>	<b>0</b>	<b>-163,995</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Eliminate Inflationary Increase in Other Expenses - (B)

The budget guidelines provided by the Office of Policy and Management (OPM) instructed state agencies to add allowances for general inflation in Other Expenses of 2.3% in FY 02 and 2.4% in FY 03. These increases would be necessary to maintain the same level of services if the general rate of inflation matches these rates.



	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
<p>-(Governor) Funding for inflationary increases in various accounts is being eliminated. This reduces the agency's funding by \$222,995 in FY 02 and by \$487,998 in FY 03. Statewide, these reductions for all funds total \$18.4 million in FY 02 and \$39 million in FY 03.</p>								
<p>-(Committee) Same as Governor.</p>								
Other Expenses	0	-222,995	0	-487,998	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>-222,995</b>	<b>0</b>	<b>-487,998</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Reduce Other Expenses for Equipment Purchases - (B)

-(Governor) The governor recommends the purchase of equipment under \$1,000 for the Waterbury and Bridgeport branch offices from any available carry forwards and has, thus, reduced the Other Expenses account by \$121,695 in FY 02 and by \$66,576 in FY 03.

-(Committee) Same as Governor.

Equipment	0	-121,695	0	-66,576	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>-121,695</b>	<b>0</b>	<b>-66,576</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Delay Pay Increases for Non-Union Employees - (B)

State employee managers and other non-union personnel receive pay increases through the executive pay plan and other management incentive programs. These employees are not subject to collective bargaining.

-(Governor) Funds are eliminated for executives, and durational project managers which affects four (4) positions (the Commissioner, 2 Deputy Commissioners and 1 Durational Project Manager for CVISN). Funds are reduced for the salaries of non-union employees delaying annual salary increases for six months. This action totals \$35,557 in FY 02 and \$56,424 in FY 03.

-(Committee) Same as Governor.

Personal Services	0	-35,557	0	-56,424	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>-35,557</b>	<b>0</b>	<b>-56,424</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Reduce Personal Services Through a General Personal Services Cut - (B)

-(Governor) Funding in Personal Services is reduced by about one percent (1%) in FY 02 and by about one and one-half percent (1 ½%) in FY 03; thus, reducing the agency's budget by \$357,512 in FY 02 and by \$577,640 in FY 03. This is shown as a general Personal Services reduction from the bottom-line of the agency's Personal Services funding. Statewide, these reductions total \$21.2 million in FY 02, or approximately \$19.3 million in the General Fund and \$1.5 million in the Transportation Fund; and \$34.5 million in FY 03, or approximately \$31.4 million in the General Fund and \$2.5 million in the Transportation Fund.

-(Committee) Same as Governor.

Personal Services	0	-357,512	0	-577,640	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>-357,512</b>	<b>0</b>	<b>-577,640</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Fund Excess Energy Costs from FY 01 Surplus - (B)

Fuel and utility costs have increased significantly over the last year and are anticipated to exceed the standard rate of inflation in the foreseeable future.

-(Governor) Funding for the agency's fuel and utility costs are reduced by \$36,037 in FY 02 and by \$32,413 in FY

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
<p>03. Energy costs are anticipated to increase more than the standard rate of inflation. The excess cost increases are to be funded through FY 01 surplus funds provided in a \$41 million Energy Contingency Fund in the Office of Policy and Management (OPM). Statewide, the budget reductions are \$13.1 million in FY 02, or approximately \$10.3 million in the General Fund and \$2.8 million in the Transportation Fund; and \$13.7 million in FY 03, or approximately \$10.9 million in the General Fund and \$2.8 million in the Transportation Fund.</p>								
<p>-(Committee) Same as Governor.</p>								
Other Expenses	0	-36,037	0	-32,413	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>-36,037</b>	<b>0</b>	<b>-32,413</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Decentralize Emissions Program - (B)

-(Governor) The governor is proposing to decentralize the emissions inspections program after the current contract with Envirotech expires on June 30, 2002. Approved licensed dealers and repairers would conduct the emissions inspections. The biennial inspection fee would increase from \$20 to \$25 paid directly to garages to cover their costs and ensure profit margin. Oversight costs will be about \$5.3 million for staff and operating requirements plus start up costs to provide training and financial assistance to help purchase test equipment.

To cover the revenue loss from the decrease in volume due to the exemption of motor vehicles four (4) years old or newer and associated emissions late fees, the governor recommends the imposition of a new \$50 "Exempt Emissions Sticker" fee and raising the Federal Clean Air Act fee on registrations from \$4 to \$10. The governor also recommends using federal congestion mitigation funds for start up costs of the program. It is anticipated that the \$50 emissions sticker fee could yield \$10.4 million in additional revenue starting in FY 03, (\$6.5 million would be transferred to the Emissions Enterprise Fund and \$3.9 million would be deposited in the Transportation Fund). Revenue from the additional Clean Air Act fee could yield \$8 million annually to the Transportation Fund commencing in FY 02.

HB 6733, "AAC The Motor Vehicle Emissions Inspection Program" implements this provision. Section 6 of the bill provides for the transfer of \$1,625,000 from the Transportation Fund to the Emissions Enterprise Fund beginning on 7/1/01 and thereafter on the first of each July, October, January, and April.

-(Committee) The governor is proposing to decentralize the emissions inspections program after the current contract with Envirotech expires on June 30, 2002. Approved licensed dealers and repairers would conduct the emissions inspections. The biennial inspection fee would increase from \$20 to \$25 paid directly to garages to cover their costs and ensure profit margin. Oversight costs will be about \$5.3 million for staff and operating requirements plus start up costs to provide training and financial assistance to help purchase test equipment.

To cover the revenue loss from the decrease in volume due to the exemption of motor vehicles four (4) years old or newer and associated emissions late

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
<p>fees, the governor recommends the imposition of a new \$50 "Exempt Emissions Sticker" fee and raising the Federal Clean Act fee on registrations from \$4 to \$10. The governor also recommends using federal congestion mitigation funds for start up costs of the program. It is anticipated that the \$50 emissions sticker fee could yield \$10.4 million in additional revenue starting in FY 03, (\$6.5 million would be transferred to the Emissions Enterprise Fund and \$3.9 million would be deposited in the Transportation Fund). Revenue from the additional Clean Air Act fee could yield \$8 million annually to the Transportation Fund commencing in FY 02.</p> <p>HB 6733, "AAC The Motor Vehicle Emissions Inspection Program" implements this provision.</p>								
<b>Reduce General Equipment Account - (B)</b>								
<p>-(Committee) To achieve economies and because the governor has recommended the purchase of equipment under \$1,000 from available carry forwards, a reduction to the general equipment account is recommended. Section 34(c) of HB 6668, (the Appropriations Act), allows for the carry forward of \$182,000 from Personal Services and Other Expenses for Other Expenses expenditures during FY 02.</p>								
Equipment	0	0	0	0	0	-162,705	0	-183,118
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>-162,705</b>	<b>0</b>	<b>-183,118</b>
<b>Transfer the Graduated License Program to Personal Services - (B)</b>								
<p>-(Committee) To achieve efficiencies, funding for the Graduated License program is transferred to the Personal Services account.</p>								
Personal Services	0	0	0	0	0	224,127	0	234,170
Graduated Licenses	0	24,127	0	34,170	0	-224,127	0	-234,170
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>24,127</b>	<b>0</b>	<b>34,170</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Appropriation from FY 2001 Surplus - General Equipment - (B)</b>								
<p>-(Committee) The committee recommends an appropriation totaling \$150,000 from the FY 01 General Fund Surplus.</p>								
Carry Forward - FY 01 Surplus Appropriations	0	0	0	0	0	150,000	0	0
<b>Total - Carry Forward - FY 01 Surplus Appropriations</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>150,000</b>	<b>0</b>	<b>0</b>
<b>Budget Totals – TF</b>	<b>695</b>	<b>52,370,813</b>	<b>695</b>	<b>54,808,339</b>	<b>-5</b>	<b>-118,247</b>	<b>-5</b>	<b>-153,521</b>
<b>Budget Totals – OF</b>	<b>0</b>	<b>1,800,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>150,000</b>	<b>0</b>	<b>0</b>

[1] This represents a grant transfer from the Department of Administrative Services (DAS) for ergonomic equipment.

[2] The Graduated License Program has been integrated within the Personal Services account effective July 1, 2001.

[3] Section 39 of SA 00-13, (the Appropriations Act), provides for the transfer of \$2.1 million from the TF Reserve for Salary Adjustment account for CVISN (Commercial Vehicle Information System and Network). It also allows for the carry forward of the funds into FY 01 and FY 02.

Section 34(a) of HB 6668 (the Appropriations Act for the biennium ending June 30, 2003) allows for the carry forward of unexpended CVISN funds into FY 03; Section 34(b) allows for the carry forward of unexpended Reflectorized Plate funds into FY 02 and FY 03.

## Department of Transportation 5000

	Actual Expenditure FY 00	Estimated Expenditure FY 01 (as of 2/2001)	Governor's Recommended FY 02	Governor's Recommended FY 03	Committee FY 02	Committee FY 03
<b>POSITION SUMMARY</b>						
<b>Appropriated Funds</b>						
<b>Special Transportation Fund</b>						
Permanent Full-Time	3,640	3,637	3,629	3,629	3,629	3,629
Others Equated to Full-Time	0	0	67	67	67	67
<b>Additional Funds Available</b>						
Permanent Full-Time	0	0	8	8	8	8
<b>OPERATING BUDGET</b>						
<b>Appropriated Funds</b>						
<b>General Fund</b>						
02X Other Current Expenses	2,218,038	0	0	0	0	0
7XX Grant Payments - To Towns	0	35,000,000	35,000,000	35,000,000	35,000,000	35,000,000
<b>Agency Total - General Fund</b>	<b>2,218,038</b>	<b>35,000,000</b>	<b>35,000,000</b>	<b>35,000,000</b>	<b>35,000,000</b>	<b>35,000,000</b>
<b>Special Transportation Fund</b>						
001 Personal Services	99,884,295	116,059,134	124,871,748	131,450,727	124,871,748	131,450,727
002 Other Expenses	30,311,234	34,060,975	31,194,864	31,142,486	31,194,864	31,142,486
005 Equipment	1,176,347	2,201,694	1,500,000	1,500,000	1,500,000	1,500,000
006 Highway Planning and Research	2,473,636	2,996,743	2,715,778	2,768,418	2,715,778	2,768,418
007 Minor Capital Projects	373,044	362,451	350,000	350,000	350,000	350,000
008 Highway & Bridge Renewal-Equipment	12,076,412	13,879,072	4,000,000	4,000,000	4,000,000	4,000,000
02X Other Current Expenses	149,014,390	152,406,870	155,698,591	164,586,266	155,698,591	164,586,266
7XX Grant Payments - To Towns	35,191,160	0	0	0	0	0
<b>Agency Total - Special Transportation Fund</b>	<b>330,500,518</b>	<b>321,966,939</b>	<b>320,330,981</b>	<b>335,797,897</b>	<b>320,330,981</b>	<b>335,797,897</b>
<b>Agency Total - Appropriated Funds</b>	<b>332,718,556</b>	<b>356,966,939</b>	<b>355,330,981</b>	<b>370,797,897</b>	<b>355,330,981</b>	<b>370,797,897</b>
<b>Additional Funds Available</b>						
Carry Forward - FY 01 Surplus Appropriations	0	0	50,000,000	0	70,000,000	0
Carry Forward - FY 00 Surplus/Tweed-NH Airpt	0	1,800,000	0	0	0	0
Carry Forward - FY 00 Surplus/Rail Equipment	0	35,000,000	0	0	0	0
Tourism Fund - Ferry Services	0	0	658,898	688,202	658,898	688,202
Bond Funds	321,995	0	0	0	0	0
Bradley Enterprise Fund	32,249,522	34,297,921	36,355,796	38,537,144	36,355,796	38,537,144
Federal Contributions	78,065,992	75,755,510	77,990,760	79,461,881	77,990,760	79,461,881
<b>Agency Grand Total</b>	<b>443,356,065</b>	<b>503,820,370</b>	<b>520,336,435</b>	<b>489,485,124</b>	<b>540,336,435</b>	<b>489,485,124</b>
<b>BUDGET BY PROGRAM</b>						
<b>Engineering &amp; Highway Operations-</b>						
<b>Administration</b>						
Permanent Full-Time Positions TF	169	169	169	169	169	169
<b>Special Transportation Fund</b>						
Personal Services	3,295,519	4,522,869	5,068,544	5,401,654	5,068,544	5,401,654
Other Expenses	331,299	393,293	393,293	393,293	393,293	393,293
<b>Total - Special Transportation Fund</b>	<b>3,626,818</b>	<b>4,916,162</b>	<b>5,461,837</b>	<b>5,794,947</b>	<b>5,461,837</b>	<b>5,794,947</b>
<b>Additional Funds Available</b>						
Carry Forward - FY 01 Surplus Appropriations	0	0	0	0	5,000,000	0
<b>Total - All Funds</b>	<b>3,626,818</b>	<b>4,916,162</b>	<b>5,461,837</b>	<b>5,794,947</b>	<b>10,461,837</b>	<b>5,794,947</b>
<b>Engineering Services</b>						
Permanent Full-Time Positions TF	884	883	883	883	883	883
<b>Special Transportation Fund</b>						
Personal Services	2,213,745	5,575,577	7,121,648	8,138,742	7,121,648	8,138,742
Other Expenses	478,348	437,629	497,629	497,629	497,629	497,629
<b>Total - Special Transportation Fund</b>	<b>2,692,093</b>	<b>6,013,206</b>	<b>7,619,277</b>	<b>8,636,371</b>	<b>7,619,277</b>	<b>8,636,371</b>

	Actual Expenditure FY 00	Estimated Expenditure FY 01 (as of 2/2001)	Governor's Recommended FY 02	Governor's Recommended FY 03	Committee FY 02	Committee FY 03
<b>Maintenance</b>						
Permanent Full-Time Positions TF	1,409	1,409	1,409	1,409	1,409	1,409
<b>Special Transportation Fund</b>						
Personal Services	46,805,166	46,736,605	55,935,237	58,687,901	55,935,237	58,687,901
Other Expenses	12,051,648	11,793,113	10,865,797	10,830,473	10,865,797	10,830,473
<b>Total - Special Transportation Fund</b>	<b>58,856,814</b>	<b>58,529,718</b>	<b>66,801,034</b>	<b>69,518,374</b>	<b>66,801,034</b>	<b>69,518,374</b>
<b>Protection from &amp; Removal of Snow &amp; Ice</b>						
Permanent Full-Time Positions TF	49	49	49	49	49	49
<b>Special Transportation Fund</b>						
Personal Services	7,044,057	12,157,245	11,359,644	12,065,048	11,359,644	12,065,048
Other Expenses	5,534,605	8,635,768	7,736,343	7,736,343	7,736,343	7,736,343
<b>Total - Special Transportation Fund</b>	<b>12,578,662</b>	<b>20,793,013</b>	<b>19,095,987</b>	<b>19,801,391</b>	<b>19,095,987</b>	<b>19,801,391</b>
<b>Roadside Maintenance</b>						
Permanent Full-Time Positions TF	326	326	326	326	326	326
<b>Special Transportation Fund</b>						
Personal Services	10,104,298	10,666,153	11,402,554	11,936,561	11,402,554	11,936,561
Other Expenses	347,997	281,156	373,477	373,477	373,477	373,477
<b>Total - Special Transportation Fund</b>	<b>10,452,295</b>	<b>10,947,309</b>	<b>11,776,031</b>	<b>12,310,038</b>	<b>11,776,031</b>	<b>12,310,038</b>
<b>Town Aid</b>						
<b>General Fund</b>						
<b>Grant Payments - Other Than Towns</b>						
<b>Grant Payments - To Towns</b>						
Town Aid Road Grants - GF	0	35,000,000	35,000,000	35,000,000	35,000,000	35,000,000
<b>Special Transportation Fund</b>						
Town Aid Road Grants - TF	34,857,231	0	0	0	0	0
Emergency Relief-Town Repairs - TF	43,110	0	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>34,900,341</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Highway &amp; Bridge Construction &amp; Renewal</b>						
<b>Special Transportation Fund</b>						
069 Highway and Bridge Renewal	8,258,640	3,341,360	0	0	0	0
070 Highway and Bridge Renewal	0	12,000,000	12,000,000	12,000,000	12,000,000	12,000,000
098 Highway and Bridge Renewal	1,772,079	0	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>10,030,719</b>	<b>15,341,360</b>	<b>12,000,000</b>	<b>12,000,000</b>	<b>12,000,000</b>	<b>12,000,000</b>
<b>Federal Contributions</b>						
Highway Planning and Construction	62,627,948	55,000,000	60,000,000	60,000,000	60,000,000	60,000,000
State and Community Highway Safety	1,730,508	3,850,620	2,925,000	1,750,000	2,925,000	1,750,000
<b>Total - Federal Contributions</b>	<b>64,358,456</b>	<b>58,850,620</b>	<b>62,925,000</b>	<b>61,750,000</b>	<b>62,925,000</b>	<b>61,750,000</b>
<b>Additional Funds Available</b>						
Bond Funds	321,995	0	0	0	0	0
<b>Total - All Funds</b>	<b>74,711,170</b>	<b>74,191,980</b>	<b>74,925,000</b>	<b>73,750,000</b>	<b>74,925,000</b>	<b>73,750,000</b>
<b>Research</b>						
Permanent Full-Time Positions TF	11	11	11	11	11	11
<b>Special Transportation Fund</b>						
Personal Services	111,948	147,525	284,931	306,886	284,931	306,886
Other Expenses	6,406	14,090	14,090	14,090	14,090	14,090
<b>Total - Special Transportation Fund</b>	<b>118,354</b>	<b>161,615</b>	<b>299,021</b>	<b>320,976</b>	<b>299,021</b>	<b>320,976</b>
<b>Finance and Administration</b>						
Permanent Full-Time Positions TF	413	410	410	410	410	410
<b>General Fund</b>						
050 Year 2000 Conversion	2,218,038	0	0	0	0	0
<b>Special Transportation Fund</b>						
Personal Services	18,187,787	23,196,401	22,658,062	23,810,576	22,658,062	23,810,576
Other Expenses	5,532,492	6,604,066	5,532,132	5,515,078	5,532,132	5,515,078
019 Litigation Settlement Costs	3,900,000	0	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>27,620,279</b>	<b>29,800,467</b>	<b>28,190,194</b>	<b>29,325,654</b>	<b>28,190,194</b>	<b>29,325,654</b>
<b>Additional Funds Available</b>						
Carry Forward - FY 01 Surplus Appropriations	0	0	50,000,000	0	65,000,000	0
<b>Total - All Funds</b>	<b>29,838,317</b>	<b>29,800,467</b>	<b>78,190,194</b>	<b>29,325,654</b>	<b>93,190,194</b>	<b>29,325,654</b>

	Actual Expenditure FY 00	Estimated Expenditure FY 01 (as of 2/2001)	Governor's Recommended FY 02	Governor's Recommended FY 03	Committee FY 02	Committee FY 03
<b>Concessions</b>						
Permanent Full-Time Positions TF	3	3	3	3	3	3
<b>Special Transportation Fund</b>						
Personal Services	142,013	152,502	136,617	141,953	136,617	141,953
Other Expenses	148,393	133,971	133,971	133,971	133,971	133,971
<b>Total - Special Transportation Fund</b>	<b>290,406</b>	<b>286,473</b>	<b>270,588</b>	<b>275,924</b>	<b>270,588</b>	<b>275,924</b>
<b>Operation &amp; Maintenance of Buildings</b>						
Permanent Full-Time Positions TF	75	75	75	75	75	75
<b>Special Transportation Fund</b>						
Personal Services	2,881,078	3,139,179	3,250,766	3,391,195	3,250,766	3,391,195
Other Expenses	5,125,789	4,984,780	4,948,606	4,948,606	4,948,606	4,948,606
Minor Capital Projects	373,044	362,451	350,000	350,000	350,000	350,000
<b>Total - Special Transportation Fund</b>	<b>8,379,911</b>	<b>8,486,410</b>	<b>8,549,372</b>	<b>8,689,801</b>	<b>8,549,372</b>	<b>8,689,801</b>
<b>Equipment</b>						
<b>Special Transportation Fund</b>						
Equipment	1,176,347	2,201,694	1,500,000	1,500,000	1,500,000	1,500,000
Highway & Bridge Renewal-Equipment	12,076,412	13,879,072	4,000,000	4,000,000	4,000,000	4,000,000
<b>Total - Special Transportation Fund</b>	<b>13,252,759</b>	<b>16,080,766</b>	<b>5,500,000</b>	<b>5,500,000</b>	<b>5,500,000</b>	<b>5,500,000</b>
<b>Additional Funds Available</b>						
Carry Forward - FY 00 Surplus/Rail Equipment	0	35,000,000	0	0	0	0
<b>Total - All Funds</b>	<b>13,252,759</b>	<b>51,080,766</b>	<b>5,500,000</b>	<b>5,500,000</b>	<b>5,500,000</b>	<b>5,500,000</b>
<b>Policy &amp; Planning-Administration</b>						
Permanent Full-Time Positions TF	9	9	9	9	9	9
<b>Special Transportation Fund</b>						
Personal Services	325,314	391,485	490,193	509,999	490,193	509,999
Other Expenses	5,357	25,250	25,252	25,252	25,252	25,252
<b>Total - Special Transportation Fund</b>	<b>330,671</b>	<b>416,735</b>	<b>515,445</b>	<b>535,251</b>	<b>515,445</b>	<b>535,251</b>
<b>Planning</b>						
Permanent Full-Time Positions TF	121	122	122	122	122	122
<b>Special Transportation Fund</b>						
Personal Services	1,642,283	2,017,362	2,309,885	2,510,234	2,309,885	2,510,234
Other Expenses	20,931	32,250	32,250	32,250	32,250	32,250
Highway Planning and Research	2,473,636	2,996,743	2,715,778	2,768,418	2,715,778	2,768,418
<b>Total - Special Transportation Fund</b>	<b>4,136,850</b>	<b>5,046,355</b>	<b>5,057,913</b>	<b>5,310,902</b>	<b>5,057,913</b>	<b>5,310,902</b>
<b>Federal Contributions</b>						
Highway Planning and Construction	9,039,914	10,841,315	10,643,851	10,816,217	10,643,851	10,816,217
Urban Mass Trans/Technical Studies Grants	418,435	731,925	768,289	800,000	768,289	800,000
State and Community Highway Safety	93,529	92,321	96,937	101,937	96,937	101,937
<b>Total - Federal Contributions</b>	<b>9,551,878</b>	<b>11,665,561</b>	<b>11,509,077</b>	<b>11,718,154</b>	<b>11,509,077</b>	<b>11,718,154</b>
<b>Total - All Funds</b>	<b>13,688,728</b>	<b>16,711,916</b>	<b>16,566,990</b>	<b>17,029,056</b>	<b>16,566,990</b>	<b>17,029,056</b>
<b>Aviation and Ports-Administration</b>						
Permanent Full-Time Positions TF	24	24	24	24	24	24
<b>Special Transportation Fund</b>						
Personal Services	1,304,587	1,205,509	1,127,120	1,194,467	1,127,120	1,194,467
Other Expenses	101,239	89,498	96,348	96,348	96,348	96,348
<b>Total - Special Transportation Fund</b>	<b>1,405,826</b>	<b>1,295,007</b>	<b>1,223,468</b>	<b>1,290,815</b>	<b>1,223,468</b>	<b>1,290,815</b>
<b>Federal Contributions</b>						
Airport Improvement Program	1,124,545	2,484,561	1,485,332	4,643,727	1,485,332	4,643,727
<b>Total - All Funds</b>	<b>2,530,371</b>	<b>3,779,568</b>	<b>2,708,800</b>	<b>5,934,542</b>	<b>2,708,800</b>	<b>5,934,542</b>
<b>Operation of General Aviation Airports</b>						
Permanent Full-Time Positions TF	24	24	24	24	24	24
<b>Special Transportation Fund</b>						
Personal Services	1,273,672	1,353,281	1,265,102	1,330,402	1,265,102	1,330,402
Other Expenses	391,784	401,651	394,176	394,176	394,176	394,176
026 Tweed-New Haven Airport	600,000	0	0	0	0	0
<b>Grant Payments - Other Than Towns</b>						
<b>Grant Payments - To Towns</b>						
Aircraft Registration - TF	290,819	0	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>2,556,275</b>	<b>1,754,932</b>	<b>1,659,278</b>	<b>1,724,578</b>	<b>1,659,278</b>	<b>1,724,578</b>

	Actual Expenditure FY 00	Estimated Expenditure FY 01 (as of 2/2001)	Governor's Recommended FY 02	Governor's Recommended FY 03	Committee FY 02	Committee FY 03
<b>Additional Funds Available</b>						
Carry Forward - FY 00 Surplus/Tweed-NH Airpt	0	1,800,000	0	0	0	0
Bradley Enterprise Fund	32,249,522	34,297,921	36,355,796	38,537,144	36,355,796	38,537,144
<b>Total - Additional Funds Available</b>	<b>32,249,522</b>	<b>36,097,921</b>	<b>36,355,796</b>	<b>38,537,144</b>	<b>36,355,796</b>	<b>38,537,144</b>
<b>Total - All Funds</b>	<b>34,805,797</b>	<b>37,852,853</b>	<b>38,015,074</b>	<b>40,261,722</b>	<b>38,015,074</b>	<b>40,261,722</b>
<b>Operation and Maintenance of Ferries</b>						
Permanent Full-Time Positions TF/OF	8/0	8/0	0/8	0/8	0/8	0/8
<b>Special Transportation Fund</b>						
Personal Services	338,637	281,698	0	0	0	0
Other Expenses	99,082	82,960	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>437,719</b>	<b>364,658</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Additional Funds Available</b>						
Tourism Fund - Ferry Services	0	0	658,898	688,202	658,898	688,202
<b>Total - All Funds</b>	<b>437,719</b>	<b>364,658</b>	<b>658,898</b>	<b>688,202</b>	<b>658,898</b>	<b>688,202</b>
<b>Public Transportation-Administration</b>						
Permanent Full-Time Positions TF	97	97	97	97	97	97
<b>Special Transportation Fund</b>						
Personal Services	3,277,014	3,572,654	4,009,897	4,265,493	4,009,897	4,265,493
Other Expenses	135,864	151,500	151,500	151,500	151,500	151,500
<b>Total - Special Transportation Fund</b>	<b>3,412,878</b>	<b>3,724,154</b>	<b>4,161,397</b>	<b>4,416,993</b>	<b>4,161,397</b>	<b>4,416,993</b>
<b>Federal Contributions</b>						
Urban Mass Trans/Capital Improvement Grant	1,085,969	727,549	494,956	267,718	494,956	267,718
Urban Mass Trans/Technical Studies Grants	146,484	76,112	51,780	28,007	51,780	28,007
Public Trans/Rural and Small Urban	969,601	1,234,536	839,863	454,275	839,863	454,275
<b>Total - Federal Contributions</b>	<b>2,202,054</b>	<b>2,038,197</b>	<b>1,386,599</b>	<b>750,000</b>	<b>1,386,599</b>	<b>750,000</b>
<b>Total - All Funds</b>	<b>5,614,932</b>	<b>5,762,351</b>	<b>5,547,996</b>	<b>5,166,993</b>	<b>5,547,996</b>	<b>5,166,993</b>
<b>Regulation</b>						
Permanent Full-Time Positions TF	18	18	18	18	18	18
<b>Special Transportation Fund</b>						
Personal Services	937,177	943,089	1,037,167	1,087,361	1,037,167	1,087,361
<b>Rail Operations</b>						
<b>Special Transportation Fund</b>						
023 Rail Operations	62,811,983	62,739,956	65,795,592	69,585,798	65,795,592	69,585,798
<b>Transit and Ridesharing</b>						
<b>Special Transportation Fund</b>						
011 Handicapped Access Program	7,347,798	7,420,669	7,828,800	8,259,400	7,828,800	8,259,400
014 Hospital Transit for Dialysis	113,000	113,000	113,000	113,000	113,000	113,000
024 Bus Operations	61,710,890	64,291,885	67,461,199	72,128,068	67,461,199	72,128,068
027 Dial-A-Ride	2,500,000	2,500,000	2,500,000	2,500,000	2,500,000	2,500,000
<b>Total - Special Transportation Fund</b>	<b>71,671,688</b>	<b>74,325,554</b>	<b>77,902,999</b>	<b>83,000,468</b>	<b>77,902,999</b>	<b>83,000,468</b>
<b>Federal Contributions</b>						
Highway Planning and Construction	116,745	0	0	0	0	0
Urban Mass Trans/Capital Improvement Grant	710,490	671,320	684,752	600,000	684,752	600,000
Transit Planning and Research	1,824	45,251	0	0	0	0
<b>Total - Federal Contributions</b>	<b>829,059</b>	<b>716,571</b>	<b>684,752</b>	<b>600,000</b>	<b>684,752</b>	<b>600,000</b>
<b>Total - All Funds</b>	<b>72,500,747</b>	<b>75,042,125</b>	<b>78,587,751</b>	<b>83,600,468</b>	<b>78,587,751</b>	<b>83,600,468</b>
<b>Personal Services Reductions</b>						
<b>Special Transportation Fund</b>						
Personal Services	0	0	-1,185,619	-1,927,745	-1,185,619	-1,927,745
Less: Turnover - Personal Services - TF	0	0	-1,400,000	-1,400,000	-1,400,000	-1,400,000
<b>GRANT PAYMENTS - TO TOWNS (Recap)</b>						
714 Town Aid Road Grants - GF	0	35,000,000	35,000,000	35,000,000	35,000,000	35,000,000
701 Aircraft Registration - TF	290,819	0	0	0	0	0
714 Town Aid Road Grants - TF	34,857,231	0	0	0	0	0
744 Emergency Relief-Town Repairs - TF	43,110	0	0	0	0	0

	Actual Expenditure FY 00	Estimated Expenditure FY 01 (as of 2/2001)	Governor's Recommended FY 02	Governor's Recommended FY 03	Committee FY 02	Committee FY 03
<b>EQUIPMENT</b>						
005 Equipment	1,176,347	2,201,694	1,500,000	1,500,000	1,500,000	1,500,000
008 Highway & Bridge Renewal-Equipment	12,076,412	13,879,072	4,000,000	4,000,000	4,000,000	4,000,000
<b>Agency Grand Total</b>	<b>443,356,065</b>	<b>503,820,370</b>	<b>520,336,435</b>	<b>489,485,124</b>	<b>540,336,435</b>	<b>489,485,124</b>

**BUDGET CHANGES**

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
<b>FY 01 Estimated Expenditures - GF</b>	<b>0</b>	<b>35,000,000</b>	<b>0</b>	<b>35,000,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>FY 01 Estimated Expenditures - TF</b>	<b>3,637</b>	<b>311,694,082</b>	<b>3,637</b>	<b>311,694,082</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Inflation and Non-Program Changes - (B)</b>								
Personal Services	0	8,502,802	0	15,872,215	0	0	0	0
Other Expenses	0	350,931	0	298,553	0	0	0	0
Highway Planning and Research	0	107,849	0	160,489	0	0	0	0
Handicapped Access Program	0	408,131	0	838,731	0	0	0	0
Rail Operations	0	3,122,352	0	6,919,229	0	0	0	0
Bus Operations	0	3,169,314	0	7,836,183	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>15,661,379</b>	<b>0</b>	<b>31,925,400</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Continue Allotment Recisions - (B)**

In order to keep state expenditures below the spending cap, the governor implemented allotment recisions, statewide, in FY 01 totaling \$48.5 million in various accounts and programs.

-(Governor) The governor recommends the continuation of allotment recisions. Thus, \$1.5 million is being removed from Personal Services and \$1 million is being removed from Other Expenses in both FY 02 and FY 03. Statewide, the continued allotment recisions are over \$9.4 million, or approximately \$6.5 million in the General Fund and \$2.5 million in the Transportation Fund.

-(Committee) Same as Governor.

Personal Services	0	-1,500,000	0	-1,500,000	0	0	0	0
Other Expenses	0	-1,000,000	0	-1,000,000	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>-2,500,000</b>	<b>0</b>	<b>-2,500,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Delay Pay Increases for Non-Union Employees - (B)**

State employee managers and other non-union personnel receive pay increases through the executive pay plan and other management incentive programs. These employees are not subject to collective bargaining.

-(Governor) Funds are reduced for the salaries of non-union employees in the amount of \$81,517 in FY 02 and \$108,932 in FY 03 by delaying annual salary increases for six months.

-(Committee) Same as Governor.

Personal Services	0	-81,517	0	-108,932	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>-81,517</b>	<b>0</b>	<b>-108,932</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Reduce Personal Services Funding Through A****One Percent (1%) Cut - (B)**

-(Governor) Funding for Personal Services is reduced by one percent (1%); thus, reducing the agency's budget by \$1.2 million in FY 02 and by \$1.9 million in FY 03. This is shown in the budget as a general Personal Services reduction from the



	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

bottom line of the agency's Personal Services funding. Statewide, these reductions are \$21.2 million in FY 02, or approximately \$19.3 million in the General Fund and \$1.5 million in the Transportation Fund; and \$34.5 million in FY 03, or approximately \$31.4 million in the General Fund and \$2.5 million in the Transportation Fund.

-(Committee) Same as Governor.

Personal Services	0	-1,185,619	0	-1,927,745	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>-1,185,619</b>	<b>0</b>	<b>-1,927,745</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Fund Excess Energy Costs From FY 01 Surplus - (B)

Fuel and utility costs have increased significantly over the last year and are anticipated to exceed the standard rate of inflation in the foreseeable future.

-(Governor) Funding for the agency's fuel and utility costs are reduced in FY 02 and FY 03 by \$2.8 million. Energy costs are anticipated to increase more than the standard rate of inflation. The excess cost increases are to be funded from the FY 01 General Fund surplus provided in a \$41 million Energy Contingency Fund in the Office of Policy and Management. Statewide, the budget reductions are \$13.1 million in FY 02; or approximately \$10.3 million in the General Fund and \$2.8 million in the Transportation Fund; and \$13.7 million in FY 03, or approximately \$10.9 million in the General Fund and \$2.8 million in the Transportation Fund.

-(Committee) Same as Governor.

Other Expenses	0	-2,697,032	0	-2,697,032	0	0	0	0
Rail Operations	0	-66,716	0	-73,387	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>0</b>	<b>-2,763,748</b>	<b>0</b>	<b>-2,770,419</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

#### Transfer Ferry Service to Tourism Fund - (B)

The department is responsible for operating and maintaining the ferry services at Rocky Hill-Glastonbury and Chester. The Rocky-Hill-Glastonbury Ferry is the oldest continuous operating service in the country. It is a seasonal operation that begins in April through November. Approximately 112,500 passengers and 55,300 vehicles make use of this service annually.

-(Governor) The governor is proposing to fund the activities supporting the ferry service from increases in Tourism Fund collections.

Funds in the amount of \$1.7 million in the first year, slightly increased in future years, are intercepted from the hotel occupancy tax to pay for programs currently funded through other sources. For instance, Freedom Trail activities, the Film Commission, the Central Tourism account, Historic Resource Inventory, the Arts Trail, and activities supporting the ferry service will all be paid through anticipated increases in hotel occupancy tax collections. SB 1148, "AAC Use Of The Hotel Tax To Fund Various Tourism-Related Activities" implements this provision.

-(Committee) Same as Governor.

Personal Services	-8	-410,586	-8	-431,479	0	0	0	0
Other Expenses	0	-83,010	0	-83,010	0	0	0	0
<b>Total - Special Transportation Fund</b>	<b>-8</b>	<b>-493,596</b>	<b>-8</b>	<b>-514,489</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Tourism Fund - Ferry Services	8	658,898	8	688,202	0	0	0	0
<b>Total - Tourism Fund - Ferry Services</b>	<b>8</b>	<b>658,898</b>	<b>8</b>	<b>688,202</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

**Appropriation from FY 01 Surplus-Transportation Initiatives/Tweed-New Haven - (B)**

-(Governor) The governor has set aside \$50 million from the FY 01 General Fund surplus for various congestion mitigation and transportation improvement projects as well as for any Bradley activities. It is anticipated that the newly created Transportation Strategy Board (TSB) will decide which projects are to be implemented. The Department of Transportation (DOT) will use its contract mechanism to implement the proposals. The budget also includes a provision allowing the use of one half over the \$531 million currently projected FY 01 General Fund surplus for transportation initiatives.

It should be noted that the governor's capital budget proposes \$172.6 million in FY 02 and \$172.7 million in FY 03 to fully maximize federal TEA-21 funds set aside for Connecticut. These state and federal funds will be used for mass transportation, roadwork, bridgework and resurfacing projects.

-(Committee) The committee has set aside \$55 million from the FY 01 General Fund surplus. Fifty million of the surplus is to be used for various congestion mitigation and transportation improvement projects as well as for any Bradley activities. It is anticipated that the newly created Transportation Strategy Board (TSB) will decide which projects are to be implemented. The Department of Transportation (DOT) will use its contract mechanism to implement the proposals. Five million of the surplus is recommended to assist Tweed-New Haven Airport develop its economic potential.

Carry Forward - FY 01 Surplus Appropriations	0	50,000,000	0	0	0	5,000,000	0	0
<b>Total - Carry Forward - FY 01 Surplus Appropriations</b>	<b>0</b>	<b>50,000,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>5,000,000</b>	<b>0</b>	<b>0</b>

**Decrease FY 01 Appropriations for Personal Services and Other Expenses - (B)**

-(Governor) The governor had targeted an FY 01 allotment reduction of \$1.6 million in Personal Services from the Protection and Removal of Snow and Ice Program. Thus, the overtime budget for snow and ice was reduced from \$10.1 million to \$8.5 million. Also, targeted for reduction was \$1 million from Other Expenses broken down as follows: \$500,000 from the Snow and Ice Program and another \$500,000 from the Maintenance Program. However, due to the severe winter season, on 3/2/01, the \$1 million Other Expenses hold back was rescinded to be used as follows: \$400,000 for salt, \$400,000 for sand, and \$200,000 for fuel. HB 6669 (the Deficiency Bill for FY 01) recommends a reduction in Other Expenses of \$400,000. The department is evaluating other unallocated appropriations to attain the provisions of sections 6 and 7 of the bill.

-(Committee) The governor had targeted an FY 01 allotment reduction of \$1.6 million in Personal Services from the Protection and Removal of Snow and Ice Program. Thus, the overtime budget for snow and ice was reduced from \$10.1 million to \$8.5 million. Also, targeted for reduction was \$1 million from Other Expenses broken down as follows: \$500,000 from the Snow and Ice Program and another \$500,000 from the Maintenance Program. However, due to the severe winter season, on 3/2/01, the \$1 million Other Expenses hold back was rescinded to be used as follows: \$400,000 for salt, \$400,000 for sand, and \$200,000 for fuel. HB 6669 (the Deficiency Bill for FY 01) recommends a reduction in Other Expenses of \$400,000. The department is evaluating other unallocated appropriations to attain the provisions of sections 6 and 7 of the bill.

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
<b>Appropriation from FY 01 Surplus - Town Aid Road/Dial-A-Ride - (B)</b>								
The committee recommends a \$5 million appropriation from the FY 01 General Fund surplus for Town Aid Road and Dial-A-Ride.								
<b>-(Committee)</b>								
Carry Forward - FY 01 Surplus Appropriations	0	0	0	0	0	5,000,000	0	0
<b>Total - Carry Forward - FY 01 Surplus Appropriations</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>5,000,000</b>	<b>0</b>	<b>0</b>
<b>Appropriation from FY 01 Surplus - TF Operating Deficit - (B)</b>								
<b>-(Committee)</b> The committee recommends a \$10 million appropriation from the FY 01 General Fund surplus to help defray future Transportation Fund annual operating deficits.								
Carry Forward - FY 01 Surplus Appropriations	0	0	0	0	0	10,000,000	0	0
<b>Total - Carry Forward - FY 01 Surplus Appropriations</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>10,000,000</b>	<b>0</b>	<b>0</b>
<b>Budget Totals - GF</b>	<b>0</b>	<b>35,000,000</b>	<b>0</b>	<b>35,000,000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Budget Totals - TF</b>	<b>3,629</b>	<b>320,330,981</b>	<b>3,629</b>	<b>335,797,897</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Budget Totals - OF</b>	<b>8</b>	<b>50,658,898</b>	<b>8</b>	<b>688,202</b>	<b>0</b>	<b>20,000,000</b>	<b>0</b>	<b>0</b>

[1] Prior to FY 01, Town Aid Road Grant had been a Transportation Fund appropriation.

[2] The first \$600,000 appropriation for Tweed – New Haven Airport was made in FY 99. The \$1.8 million appropriation from the FY 00 Surplus completes the total commitment of \$3 million.

[3] Sections 36 and 37 of HB 6669 (the Deficiency Bill for FY 01) transfers \$500,000 to Employers Social Security Tax and \$100,000 to the State Employees Health Service Cost from Other Expenses. The FY 01 \$1 million Other Expenses allotment rescission was to be used for this purpose. However, due to the severity of the winter season, the \$1 million holdback was rescinded on 3/2/01.